

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P.

1300 I STREET, N. W.
WASHINGTON, DC 20005-3315

202 • 408 • 4000
FACSIMILE 202 • 408 • 4400

WRITER'S DIRECT DIAL NUMBER:

(202) 408-4096

June 12, 2001

JC960 U.S. PTO



06/12/01

ATLANTA
404•653•6400
PALO ALTO
650•849•6600

JC986 U.S. PTO
09/878338
06/12/01

TOKYO
011•813•3431•6943
BRUSSELS
011•322•646•0353

ATTORNEY DOCKET NO.: 08098.0012
CUSTOMER NUMBER: 22,852

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

New U.S. Patent Application

Title: A TRADING AND AUCTION SYSTEM, AND METHODS FOR THE
AUTHENTICATION OF BUYERS AND SELLERS AND FOR THE
TRANSMISSION OF TRADING INSTRUCTIONS IN A TRADING AND
AUCTION SYSTEM

Inventors' Names and Residences:

1. Dennis Mendiola, New York, NY; and
2. Gilpatrick R. GARCIA, Pasig City, Philippines.

Sir:

We enclose the following papers for filing in the United States Patent and
Trademark Office in connection with the above patent application.

1. Application - 36 pages, including 6 independent claims and 44 claims total.
2. Drawings - 2 sheets of formal drawings containing 4 figures.
3. The filing fee is calculated as follows:

Basic Application Filing Fee					\$710	\$ 710.00
	Number of Claims		Basic	Extra Claims		
Total Claims	44	-	20	24	x \$18	432.00
Independent Claims	6	-	3	3	x \$80	240.00
[X] Presentation of Multiple Dep. Claim(s)					+\$270	270.00
Subtotal					\$	1652.00
Reduction by 1/2 if small entity					-	
TOTAL APPLICATION FILING FEE					\$	1652.00

Assistant Commissioner of Patents

June 12, 2001

Page 2

4. A check for \$1652.00 is enclosed. The fee includes
\$710.00 filing fee; and
\$942.00 additional claims fee.

5. Preliminary Amendment

This application is being filed under the provisions of 37 C.F.R. § 1.53(f).
Applicants await notification from the Patent and Trademark Office of the time set for filing
the Declaration.

Applicants claim the benefit of U.S. Provisional Application No. 60/212, 822, filed
June 21, 2000.

Please address all correspondence with respect to this application to:


Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

Please accord this application a serial number and filing date.

The Commissioner is hereby authorized to charge any additional filing fees due and
any other fees due under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application
to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 

David W. Hill

Reg. No. 28,220

ERNEST F. CHAPMAN
Reg. No. 25,961

DWH/FPD/peg
Enclosures